

1073RCGPCT/PTO 05 APR 2005

FORM PTO 1390 (REV 5-93) US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY DOCKET NUMBER 2006_0469A
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. §371		
International Application No. PCT/JP2004/018915	International Filing Date December 17, 2004	U.S. APPLICATION NO. (if known, see 37 CFR 1.14) NEW <b>10/574619</b>
<b>Title of Invention</b> RECORDING DEVICE CONTROL APPARATUS		
<b>Applicant(s) For DO/EO/US</b> Shohji OHTSUBO et al.		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. §371.		
2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. §371.		
3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. §371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. §371(b) and PCT Articles 22 and 39(1).		
4. <input type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.		
5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. §371(c)(2)) a. <input checked="" type="checkbox"/> is transmitted herewith. <b>ATTACHMENT A</b> b. <input type="checkbox"/> has been transmitted by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)		
6. <input checked="" type="checkbox"/> A translation of the International Application into English (35 U.S.C. §371(c)(2)). <b>ATTACHMENT B</b>		
7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3)). a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> have been transmitted by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made.		
8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19.		
9. <input checked="" type="checkbox"/> An executed oath or declaration of the inventor(s) (35 U.S.C. §371(c)(4)). <b>ATTACHMENT C</b>		
10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. §371(c)(5)).		
<b>Items 11. to 14. below concern other document(s) or information included:</b>		
11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. <b>ATTACHMENT D</b>		
12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.		
13. <input checked="" type="checkbox"/> A <b>FIRST</b> preliminary amendment. <b>ATTACHMENT E</b> <input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.		
14. <input checked="" type="checkbox"/> Other items or information: <ul style="list-style-type: none"><li>• Letter Regarding Proposed Drawing Amendments along with 1 Sheet of Annotated Marked-up Drawing (Fig. 5) <b>ATTACHMENT F</b></li><li>• Submission of Replacement Formal Drawing along with 1 sheet of Formal Drawing (Fig. 5). <b>ATTACHMENT G</b></li><li>• Form PCT/IB/304. <b>ATTACHMENT H</b></li></ul>		
THE COMMISSIONER IS AUTHORIZED TO CHARGE ANY DEFICIENCY IN THE FEES FOR THIS PAPER TO DEPOSIT ACCOUNT NO. 23-0975		

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)	INTERNATIONAL APPLICATION NO.	ATTORNEY'S DOCKET NO.		
NEW <b>10/574619</b>	PCT/JP2004/018915	2006_0469A		
15. [X] The following fees are submitted		CALCULATIONS PTO USE ONLY		
Basic National Stage Fee .....		\$300.00		
National Stage Search Fee .....		\$400.00		
National Stage Examination Fee .....		\$200.00		
Specification/drawings in excess of 100 pages ( units of 50 x \$250.00) = .....		\$		
<b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>		\$900.00		
Surcharge of \$130.00 for furnishing the oath or declaration later than [] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(e)).		\$		
Claims	Number Filed	Number Extra	Rate	
Total Claims	7 -20 =	0	X \$50.00	\$
Independent Claims	3 - 3 =	0	X \$200.00	\$
Multiple dependent claim(s) (if applicable)		+ \$360.00	\$	
<b>TOTAL OF ABOVE CALCULATIONS =</b>		\$900.00		
[] Small Entity Status is hereby asserted. Above fees are reduced by 1/2.		\$		
<b>SUBTOTAL =</b>		\$900.00		
Processing fee of \$130.00 for furnishing the English translation later than [] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(f)).		+ \$		
<b>TOTAL NATIONAL FEE =</b>		\$900.00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +		\$		
<b>TOTAL FEES ENCLOSED =</b>		\$900.00		
		Amount to be refunded \$		
		Amount to be charged \$		
<p>a. [X] A check in the amount of <u>\$900.00</u> to cover the above fees is enclosed. A duplicate copy of this form is enclosed.</p> <p>b. [] Please charge my Deposit Account No. 23-0975 in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. [] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>23-0975</u>.</p>				
<b>THE COMMISSIONER IS AUTHORIZED TO CHARGE ANY DEFICIENCY IN THE FEES FOR THIS PAPER TO DEPOSIT ACCOUNT NO. 23-0975</b>				
<p><b>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</b></p>				
19. CORRESPONDENCE ADDRESS		<p>By: <u>W. Douglas Hahm</u>, Registration No. 44,142</p> <p>WENDEROTH, LIND &amp; PONACK, L.L.P. 2033 "K" Street, N.W., Suite 800 Washington, D.C. 20006-1021 Phone:(202) 721-8200 Fax:(202) 721-8250</p> <p>April 5, 2006</p>		
CUSTOMER NO.		<p>[CHECK NO. <u>7338</u>] <span style="float: right;">[2006_0469A]</span></p>		
52349				

10/574619  
IAP9 Recd RCT/PCT 05 APR 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Shohji OHTSUBO et al. : Attorney Docket No. 2006\_0469A

Serial No. NEW : Mail Stop PCT

Filed April 5, 2006

RECORDING DEVICE CONTROL APPARATUS

THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEES FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975

**LETTER REGARDING PROPOSED DRAWING AMENDMENTS**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Enclosed herewith is a photocopy of Fig. 5 marked in red to indicate proposed drawing amendments thereto.

The Examiner is requested to approve such proposed drawing amendments.

Respectfully submitted,

Shohji OHTSUBO et al.

By \_\_\_\_\_

W. Douglas Hahm  
Registration No. 44,142  
Attorney for Applicants

WDH/ck  
Washington, D.C. 20006-1021  
Telephone (202) 721-8200  
Facsimile (202) 721-8250  
April 5, 2006

ATTACHMENT F

10/574619

IAP9 Rec'd PCT/PTO 05 APR 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : Attorney Docket No. 2006\_0469A  
Shohji OHTSUBO et al. : **Mail Stop: PCT**  
Serial No. NEW :  
Filed April 5, 2006 :  
RECORDING DEVICE CONTROL APPARATUS : THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEES FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975

**SUBMISSION OF REPLACEMENT FORMAL DRAWING**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

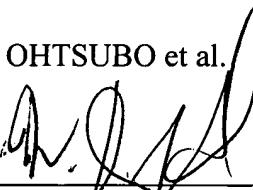
Sir:

Attached hereto is 1 sheet of formal (A4) drawing (Figs. 5).

Respectfully submitted,

Shohji OHTSUBO et al.

By:

  
W. Douglas Hahm

Registration No. 44,142  
Attorney for Applicants

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April 5, 2006